



# Equilibrium States for Pre-image Pressure

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**Abstract:** In this paper equilibrium states for pre-image pressure are considered. We study the ergodic decomposition of Cheng–Newhouse metric pre-image entropy. Moreover, for a topological dynamical system  $(X, T)$  with finite topological pre-image entropy and upper semi-continuous metric pre-image entropy function  $h_{\{pre, \bullet\}}(T)$ , we obtain a way to describe a kind of continuous dependence of equilibrium states, and show that all functions with unique equilibrium state is dense in  $C(X)$ . Last, we also discuss the uniformity of equilibrium states for pre-image pressure.

**Keywords:** *pre-image pressure, equilibrium states, metric pre-image entropy.*

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## 1 Introduction

Entropies are fundamental to our current understanding of dynamical systems, and topological pressure is a generalization to topological entropy for a dynamical system (see [1] and [2]). Recently, the pre-image structure of maps has become deeply characterized via entropies and pressures, and several important pre-image entropy and pressure invariants have been introduced (see [3, 4, 5, 6, 7]).

In [3], F. Zeng, K. Yan and G. Zhang studied the topological pre-image pressure of topological dynamical systems, and proved a variational principle for it. They considered a compact metric space  $X$  and a continuous map  $T : X \rightarrow X$ . The pre-image pressure is defined as a real-valued continuous convex function  $P_{pre}(T, \bullet)$  on  $C(X)$ , where  $C(X)$

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denotes the Banach space of all real-valued continuous functions on  $X$  with the supremum norm. They showed that  $P_{pre}(T, f) = \sup_{\mu \in \mathcal{M}(X, T)} (h_{pre, \mu}(T) + \mu(f))$ , where  $\mathcal{M}(X, T)$  denotes the collection of all  $T$ -invariant probability measures on  $X$ ,  $\mu(f) = \int_X f d\mu$  and  $h_{pre, \mu}(T)$  the pre-image entropy of  $\mu$  with respect to  $T$  (see [3, 4] for definition). An  $\mu \in \mathcal{M}(X, T)$  such that  $h_{pre, \mu}(T) + \mu(f)$  attains its supremum is called equilibrium state. For each  $f \in C(X)$ , there exist tangent functionals to  $P_{pre}(T, \bullet)$  at  $f$ , whereas there may be no equilibrium states for  $f$ . If  $\mathcal{T}_f(X, T)$  denotes the set of tangent functionals to  $P_{pre}(T, \bullet)$  at  $f$  and  $\mathcal{M}_f(X, T)$  the set of equilibrium states for  $f$  then one has  $\mathcal{M}_f(X, T) \subset \mathcal{T}_f(X, T) \subset \mathcal{M}(X, T)$  and  $\mathcal{T}_f(X, T) = \mathcal{M}_f(X, T)$  if and only if the pre-image entropy function  $h_{\{pre, \cdot\}}(T)$  is upper semi-continuous at the members of  $\mathcal{T}_f(X, T)$  (see § 2 for definitions and [3] for some results).

The purpose of this note is to consider equilibrium states for pre-image pressure of the topological dynamical system  $(X, T)$  with finite pre-image entropy. In Section 2, we concentrate on the ergodic decomposition of measure pre-image entropy, and review some definitions and some basic properties.

In Section 3, we consider a kind of continuous dependence of the equilibrium states  $\mathcal{M}_f(X, T)$  on the function  $f$ .

In Section 4, we discuss uniqueness and uniformity of equilibrium states for pre-image pressure. We obtained the collection of continuous functions which has unique equilibrium state relative to pre-image pressure and is a dense  $G_\delta$ -set of  $C(X)$ . We also show that for any finite collection of ergodic measures, we can find some continuous function such that they contain its equilibrium states set.

## 2 Preliminaries

In this section, we will recall some definitions and give some useful lemmas.

For a given topological dynamical system  $(X, T)$  (where  $X$  is a compact metric space and  $T$  is a continuous map from  $X$  to itself), denote by  $\mathcal{B}(X)$  the collection of all Borel subsets. A *partition* of  $X$  is a finite disjoint collection of Borel subsets of  $X$  whose union is  $X$ . For finite partitions  $\alpha, \beta$ , we set  $\alpha \vee \beta = \{A \cap B : A \in \alpha, B \in \beta\}$  and  $T^{-1}\alpha = \{T^{-1}(A) : A \in \alpha\}$ . If  $0 \leq j \leq n$  are positive integers, we let  $\alpha_j^n = \bigvee_{i=j}^n T^{-i}\alpha$  and  $\alpha^n = \alpha_0^{n-1}$ . Set  $\mathcal{B}^- = \bigcap_{n=0}^\infty T^{-n}\mathcal{B}(X)$ , then  $\mathcal{B}^-$  is a  $T$ -invariant sub- $\sigma$  algebra. We call  $\mathcal{B}^-$  the *infinite past  $\sigma$ -algebra related to  $\mathcal{B}(X)$* .

Denote by  $\mathcal{M}(X)$  the set of all Borel probability measures on  $X$ ,  $\mathcal{M}(X, T) \subset \mathcal{M}(X)$  is the set of  $T$ -invariant measures, and  $\mathcal{M}^e(X, T) \subset \mathcal{M}(X, T)$  is the set of ergodic measures. Then both  $\mathcal{M}(X)$  and  $\mathcal{M}(X, T)$  are convex, compact metric spaces endowed with the weak\*-topology (see Chapter 6 in [1]).

Given partitions  $\alpha, \beta$  of  $X$ ,  $\mu \in \mathcal{M}(X)$  and a  $\sigma$ -algebra  $\mathcal{A} \subset \mathcal{B}(X)$ , define

$$H_\mu(\alpha|\mathcal{A}) := \sum_{A \in \alpha} \int_X -\mathbb{E}(1_A|\mathcal{A}) \log \mathbb{E}(1_A|\mathcal{A}) d\mu,$$

$$H_\mu(\alpha|\beta \vee \mathcal{A}) := H_\mu(\alpha \vee \beta|\mathcal{A}) - H_\mu(\beta|\mathcal{A}),$$

where  $\mathbb{E}(1_A|\mathcal{A})$  is the expectation of  $1_A$  with respect to  $\mathcal{A}$ . It is well-known that  $H_\mu(\alpha|\mathcal{A})$  increases with respect to  $\alpha$  and decreases with respect to  $\mathcal{A}$ .

When  $\mu \in \mathcal{M}(X, T)$  and  $\mathcal{A}$  is a  $T$ -invariant measurable sub- $\sigma$ -algebra of  $X$ , it is not hard to see that  $a_n = H_\mu(\alpha^n|\mathcal{A})$  is a non-negative sub-additive sequence for a given

partition  $\alpha$ , i.e.  $a_{n+m} \leq a_n + a_m$  for all positive integers  $n$  and  $m$ . It is well known that

$$\lim_{n \rightarrow \infty} \frac{a_n}{n} = \inf_{n \geq 1} \frac{a_n}{n}.$$

The *conditional entropy of  $\alpha$  with respect to  $\mathcal{A}$*  is then defined by

$$h_\mu(T, \alpha | \mathcal{A}) := \lim_{n \rightarrow \infty} \frac{1}{n} H_\mu(\alpha^n | \mathcal{A}) = \inf_{n \geq 1} \frac{1}{n} H_\mu(\alpha^n | \mathcal{A}).$$

Moreover, the *metric conditional entropy of  $(X, T)$  with respect to  $\mathcal{A}$*  is defined by

$$h_\mu(T, X | \mathcal{A}) = \sup_{\alpha} h_\mu(T, \alpha | \mathcal{A}).$$

Note that if  $\mathcal{N}$  is a trivial  $\sigma$ -algebra, we recover the metric entropy, and we write  $h_\mu(T, \alpha | \mathcal{N})$  and  $h_\mu(T, X | \mathcal{N})$  simple as  $h_\mu(T, \alpha)$  and  $h_\mu(T)$ .

Particularly, if  $\mathcal{A}$  is the infinite past  $\sigma$ -algebra  $\mathcal{B}^-$ , we define the *measure-theoretic (or metric) pre-image entropy of  $\alpha$  with respect to  $(X, T)$*  by

$$h_{pre,\mu}(T, \alpha) := h_\mu(T, \alpha | \mathcal{B}^-) = \lim_{n \rightarrow \infty} \frac{1}{n} H_\mu(\alpha^n | \mathcal{B}^-).$$

Moreover, we define the *metric pre-image entropy of  $(X, T)$*  by

$$h_{pre,\mu}(T) := \sup_{\alpha} h_{pre,\mu}(T, \alpha).$$

In [4], Cheng-Newhouse have shown that the quantity  $h_{pre,\mu}(T)$  satisfied power and product rules analogous to the standard metric entropy, that the map  $\mu \rightarrow h_{pre,\mu}(T)$  was affine, and that there was an analog of the Shannon-Breiman-McMillan theorem for the metric pre-image entropy. In [5], Wen-Chiao Cheng obtained a method for calculating the metric pre-image entropy, which is similar to the Kolmogorov-Sinai theorem for the metric entropy.

Now we discuss the ergodic decomposition of metric pre-image entropy. Given a partition  $\alpha$  of  $X$ , put  $\alpha^- = \bigvee_{n=1}^{\infty} T^{-n}\alpha$  and  $\alpha^T = \bigvee_{n=-\infty}^{+\infty} T^{-n}\alpha$ . The following lemma is a classical result in ergodic theory (see for example [8]).

**Lemma 2.1** (*Pinsker formula*) *Let  $\alpha, \beta$  be two partitions of  $X$ . Then*

$$h_\mu(T, \alpha \vee \beta) = h_\mu(T, \beta) + H_\mu(\alpha | \beta^T \vee \alpha^-).$$

**Lemma 2.2** (*Ergodic decomposition of metric entropy, [1, Theorem 8.4]*) *Let  $(X, T)$  be a topological dynamical system and  $\alpha$  be a partition of  $X$ . If  $\mu \in \mathcal{M}(X, T)$  and  $\mu = \int_{\mathcal{M}^e(X, T)} m d\tau(m)$  is the ergodic decomposition of  $\mu$ , then we have:*

$$h_\mu(T, \alpha) = \int_{\mathcal{M}^e(X, T)} h_m(T, \alpha) d\tau(m).$$

**Lemma 2.3** (*[5, Lemma 4.13]*) *Let  $(X, T)$  be a topological dynamical system,  $\mu \in \mathcal{M}(X, T)$  and  $\alpha$  be a partition of  $X$ . Then*

$$h_{pre,\mu}(T, \alpha) = H_\mu(\alpha | \alpha^- \vee \mathcal{B}^-).$$

**Theorem 2.1** (Ergodic decomposition of metric pre-image entropy). *Let  $(X, T)$  be a topological dynamical system,  $\mu \in \mathcal{M}(X, T)$  and  $\alpha$  be a partition of  $X$ . If  $\mu = \int_{\mathcal{M}^e(X, T)} m d\tau(m)$  is the ergodic decomposition of  $\mu$ , then*

$$h_{pre, \mu}(T, \alpha) = \int_{\mathcal{M}^e(X, T)} h_{pre, m}(T, \alpha) d\tau(m),$$

and

$$h_{pre, \mu}(T) = \int_{\mathcal{M}^e(X, T)} h_{pre, m}(T) d\tau(m).$$

**Proof** Take an increasing sequence of finite Borel partitions  $\beta_j$  of  $X$  with  $diam(\beta_j) \rightarrow 0$ . Then using the Pinsker formula, the ergodic decomposition of metric entropy, Lemma 2.3 and dominated convergence theorem, we have

$$\begin{aligned} h_{pre, \mu}(T, \alpha) &= H_\mu(\alpha | \alpha^- \vee \mathcal{B}^-) = \lim_{k \rightarrow \infty} H_\mu(\alpha | \alpha^- \vee T^{-k} \mathcal{B}(X)) \\ &= \lim_{k \rightarrow \infty} \lim_{j \rightarrow \infty} H_\mu(\alpha | \alpha^- \vee (T^{-k} \beta_j)^T) \\ &= \lim_{k \rightarrow \infty} \lim_{j \rightarrow \infty} [h_\mu(T, \alpha \vee T^{-k} \beta_j) - h_\mu(T, T^{-k} \beta_j)] \\ &= \lim_{k \rightarrow \infty} \lim_{j \rightarrow \infty} \int_{\mathcal{M}^e(X, T)} [h_m(T, \alpha \vee T^{-k} \beta_j) - h_m(T, T^{-k} \beta_j)] d\tau(m) \\ &= \lim_{k \rightarrow \infty} \lim_{j \rightarrow \infty} \int_{\mathcal{M}^e(X, T)} H_m(\alpha | \alpha^- \vee (T^{-k} \beta_j)^T) d\tau(m) \\ &= \int_{\mathcal{M}^e(X, T)} \lim_{k \rightarrow \infty} \lim_{j \rightarrow \infty} H_m(\alpha | \alpha^- \vee (T^{-k} \beta_j)^T) d\tau(m) \\ &= \int_{\mathcal{M}^e(X, T)} h_{pre, m}(T, \alpha) d\tau(m). \end{aligned}$$

Moreover, we can get

$$\begin{aligned} h_{pre, \mu}(T) &= \lim_{j \rightarrow \infty} h_{pre, \mu}(T, \beta_j) = \lim_{j \rightarrow \infty} \int_{\mathcal{M}^e(X, T)} h_{pre, m}(T, \beta_j) d\tau(m) \\ &= \int_{\mathcal{M}^e(X, T)} \lim_{j \rightarrow \infty} h_{pre, m}(T, \beta_j) d\tau(m) \\ &= \int_{\mathcal{M}^e(X, T)} h_{pre, m}(T) d\tau(m). \end{aligned}$$

Theorem 2.1 is proved. □

Following the idea of topological pressure (see [1]), F.Zeng etc. defined a new notion of pre-image pressure, which extends Cheng-Newhouse pre-image entropy [4]. For a given topological dynamical system  $(X, T)$ , the pre-image pressure of  $T$  is a map  $P_{pre}(T, \bullet) : C(X) \rightarrow \mathbb{R}$  which is convex, Lipschitz continuous, increasing, with  $P_{pre}(T, 0) = h_{pre}(T)$  (see [3] for definition).

Given  $f \in C(X)$ . A member  $\mu \in \mathcal{M}(X, T)$  is called an *equilibrium state* for  $f$  if  $P_{pre}(T, f) = h_{pre, \mu}(T) + \mu(f)$ . By the variational principle (Theorem 3.1 in [3]) this is equivalent to requiring

$$h_{pre, \mu}(T) + \mu(f) = \sup\{h_{pre, m}(T) + m(f) : m \in \mathcal{M}(X, T)\}.$$

Let  $\mathcal{M}_f(X, T)$  denote the collection of all equilibrium states for  $f$ . Note that this set could be empty (see Example 5.1 in [3]).

A *tangent functional* to  $P_{pre}(T, \bullet)$  at  $f$  is a finite signed Borel measure  $\mu$  on  $X$  such that

$$P_{pre}(T, f + g) - P_{pre}(T, f) \geq \mu(g), \quad \forall g \in C(X).$$

Let  $\mathcal{T}_f(X, T)$  denote the collection of all tangent functionals to  $P_{pre}(T, \bullet)$  at  $f$ . An application of the Hahn-Banach theorem gives  $\mathcal{T}_f(X, T) \neq \emptyset$ . It is easy to see that  $\mu \in \mathcal{T}_f(X, T)$  if and only if

$$P_{pre}(T, f) - \mu(f) = \inf\{P_{pre}(T, h) - \mu(f) : h \in C(X)\}.$$

Also we have  $\mathcal{T}_f(X, T) \subset \mathcal{M}(X, T)$  (see [3] for details).

**Proposition 2.1** *The following holds.*

- (1)  $\mathcal{M}_f(X, T)$  is convex;
- (2) if the pre-image entropy map  $h_{pre, \bullet}(T)$  is upper semi-continuous then  $\mathcal{M}_f(X, T)$  is compact and non-empty;
- (3) the extreme points of  $\mathcal{M}_f(X, T)$  are precisely the ergodic members of  $\mathcal{M}_f(X, T)$ ;
- (4) If  $\mu \in \mathcal{M}_f(X, T)$  and  $\mu = \int_{\mathcal{M}^e(X, T)} m d\tau(m)$  is the ergodic decomposition of  $\mu$ , then for  $\tau$ -a.e.  $m \in \mathcal{M}^e(X, T)$ ,  $m \in \mathcal{M}_f(X, T)$ .

**Proof** (1)-(3) can see Theorem 5.1 in [3].

(4) This follows from the following two facts: (i)  $h_{pre, m}(T) + m(f) \leq P_{pre}(T, f)$  for each  $m \in \mathcal{M}^e(X, T)$ ; (ii)  $\int_{\mathcal{M}^e(X, T)} [h_{pre, m}(T) + m(f)] d\tau(m) = h_{pre, \mu}(T) + \mu(f) = P_{pre}(T, f)$  by Theorem 2.1. □

**Proposition 2.2** *Let  $(X, T)$  be a topological dynamical system with  $h_{pre}(T) < \infty$  and  $f \in C(X)$ . Then the following holds.*

- (1)  $\mathcal{M}_f(X, T) \subset \mathcal{T}_f(X, T) \subset \mathcal{M}(X, T)$ ;
- (2)  $\mathcal{T}_f(X, T) = \overline{\bigcap_{n=1}^{\infty} \{\mu \in \mathcal{M}(X, T) : h_{pre, \mu}(T) + \mu(f) > P_{pre}(T, f) - 1/n\}}$ ;
- (3)  $\mathcal{M}_f(X, T) = \mathcal{T}_f(X, T)$  if and only if  $h_{pre, \bullet}(T)$  is upper semi-continuous at the members of  $\mathcal{T}_f(X, T)$ .

**Proof** Theorem 5.2 in [3]. □

### 3 Continuous Dependence of Equilibrium State

Let  $(X, T)$  be a topological dynamical system. Throughout the following sections, we assume the topological pre-image entropy  $h_{pre}(T) < \infty$ , and the metric pre-image entropy function  $h_{\{pre, \bullet\}}(T) : \mathcal{M}(X, T) \rightarrow \mathbb{R}$  is upper semi-continuous.

In this section, we prove a theorem to describe a kind of continuous dependence of the set  $\mathcal{M}_f(X, T)$  on the function  $f \in C(X)$ .

**Theorem 3.1** Consider  $f, g_n \in C(X)$  and  $t_n \in (-1, 1)$  such that  $t_n \rightarrow 0$  and  $\|g_n\|_\infty \rightarrow 0$ . Let  $\mu_n \in \mathcal{M}_{(1+t_n)f+g_n}(X, T), n > 0$ . Then the following holds.

(1) If  $\{\mu_n\}_{n \geq 1}$  converges weakly to some  $\mu \in \mathcal{M}(X, T)$  (i.e.  $\mu_n(h) \rightarrow \mu(h)$  for all  $h \in C(X)$ ), then  $\mu \in \mathcal{M}_f(X, T)$ ;

(2) If  $\mathcal{M}_f(X, T) = \{\mu\}$ , then  $\lim_{n \rightarrow \infty} \mu_n = \mu$ .

**Proof** (1) Observe that

$$\begin{aligned} & P_{pre}(T, (1+t_n)f+g_n) \\ &= \sup_{\mu \in \mathcal{M}(X, T)} (h_{pre, \mu}(T) + \mu((1+t_n)f+g_n)) \\ &= \sup_{\mu \in \mathcal{M}(X, T)} ((1+t_n)(h_{pre, \mu}(T) + \mu(f)) - t_n h_{pre, \mu}(T) + \mu(g_n)) \tag{1} \\ &\geq (1+t_n)P_{pre}(T, f) - |t_n| h_{pre}(T) - \|g_n\|_\infty \end{aligned}$$

Since the metric pre-image entropy function  $h_{pre, \bullet}(T)$  is upper semi-continuous,

$$\begin{aligned} & h_{pre, \mu}(T) + \mu(f) \\ &\geq \limsup_{n \rightarrow \infty} h_{pre, \mu_n}(T) + \limsup_{n \rightarrow \infty} \mu_n(f) \\ &\geq \limsup_{n \rightarrow \infty} (h_{pre, \mu_n}(T) + \mu_n((1+t_n)f+g_n) - \mu_n(t_n f + g_n)) \\ &\geq \limsup_{n \rightarrow \infty} (P_{pre}(T, (1+t_n)f+g_n) - |t_n| \mu_n(f) - \|g_n\|_\infty) \\ &\geq \limsup_{n \rightarrow \infty} ((1+t_n)P_{pre}(T, f) - |t_n| h_{pre}(T) - |t_n| \mu_n(f) - 2\|g_n\|_\infty) \text{ (by (1))} \\ &\geq P_{pre}(T, f) - \limsup_{n \rightarrow \infty} |t_n| \mu_n(f) \\ &\geq P_{pre}(T, f) - \limsup_{n \rightarrow \infty} |t_n| \mu_n(|f|) \\ &= P_{pre}(T, f) \text{ (Since } \limsup_{n \rightarrow \infty} \mu_n(|f|) = \mu(|f|) < \infty \text{).} \end{aligned}$$

Therefore,  $\mu \in \mathcal{M}_f(X, T)$ .

(2) If  $\omega$  is a limit point of  $\{\mu_n\}_{n \geq 1}$ , then  $\omega = \mu$  by (1). It follows that  $\mu_n \rightarrow \mu$  as  $n \rightarrow \infty$ . □

#### 4 Uniqueness and Uniformity of Equilibrium State

In this section, we study uniqueness and uniformity of equilibrium state for pre-image pressure. First, we have the following lemma.

**Lemma 4.1** For a given topological dynamical system  $(X, T)$ , there is a dense subset  $C(X)$  such that each function in this set has a unique equilibrium state for pre-image pressure.

**Proof** It follows directly from (3) in Proposition 2.2 and the fact that a convex continuous function on a separable Banach space has a unique tangent functional at a dense set of points (can see [9, page 450] or [10, Appendix A.3.6]). □

Denote by  $2^{\mathcal{M}(X, T)}$  the hyperspace of compact metric space  $\mathcal{M}(X, T)$ . Define  $\Phi : C(X) \rightarrow 2^{\mathcal{M}(X, T)}$  by

$$\Phi(f) = \mathcal{M}_f(X, T), \quad \forall f \in C(X).$$

**Lemma 4.2**  $\Phi$  is upper semi-continuous.

**Proof** If  $f_n \in C(X)$  with  $f_n \rightarrow f \in C(X)$  and  $\mu_n \in \mathcal{M}_{f_n}(X, T)$  with  $\mu_n \rightarrow \mu$  for some  $\mu \in \mathcal{M}(X, T)$ , then for each  $n$  we have

$$h_{pre, \mu_n}(T) + \mu_n(f_n) = P_{pre}(T, f_n).$$

Letting  $n \rightarrow \infty$ , then by the continuity of pre-image pressure function  $P_{pre}(T, \bullet)$  (see [3, Lemma 4.1 (3)]) and the upper semi-continuity of  $h_{pre, \bullet}(T)$ , we have

$$h_{pre, \mu}(T) + \mu(f) \geq P_{pre}(T, f).$$

Using the variational principle of pre-image pressure,  $\mu \in \mathcal{M}_f(X, T)$ . □

**Theorem 4.1** Let  $(X, T)$  be a topological dynamical system. Then the following holds.

(1)  $f \in C(X)$  has a unique equilibrium state relative to pre-image pressure if and only if  $\Phi$  is continuous at  $f$ ;

(2)  $\mathcal{C} \subset C(X)$  is a dense  $G_\delta$  set, where each  $f \in \mathcal{C}$  has unique equilibrium state for pre-image pressure.

**Proof** (1) It follows directly from Lemma 4.2 that  $\Phi$  is continuous at  $f$  whenever  $\mathcal{M}_f(X, T)$  has only one element.

Now we let  $\Phi$  be continuous at  $f$ . By Lemma 4.1, there is a sequence  $f_n \in C(X)$  such that  $f_n \rightarrow f$  and each  $\mathcal{M}_{f_n}(X, T)$  is a single point set. Since  $\Phi$  is continuous at  $f$ ,  $\mathcal{M}_f(X, T)$  also has only one element.

(2) It follows directly from Lemma 4.1, Lemma 4.2 and (1) above. □

Now we discuss uniformity of equilibrium states for pre-image pressure. Set

$$\mathcal{M}_{pre}(X, T) = \bigcup_{f \in C(X)} \mathcal{M}_f(X, T),$$

which denote the set of all equilibrium states for pre-image pressure.

**Lemma 4.3** Given  $f \in C(X)$ . Then for any  $\mu \in \mathcal{M}(X, T)$  and  $\epsilon > 0$ , there is  $f' \in C(X)$  and  $\mu' \in \mathcal{M}_{f'}(X, T)$  such that

$$\|\mu - \mu'\| = \sup_{g \in C(X), \|g\|=1} |\mu(g) - \mu'(g)| \leq \epsilon,$$

and

$$\|f - f'\| \leq \frac{1}{\epsilon} [P_{pre}(T, f) - h_{pre, \mu}(T) - \mu(f)].$$

**Proof** The proof follows the arguments of the proof of [10, Theorem 3.16]. First we have  $P_{pre}(T, \bullet) : C(X) \rightarrow \mathbb{R}$  is convex and continuous (see [3, Lemma 4.1 (3) and (4)]). Since  $\mu(g) \leq P_{pre}(T, g)$  for all  $g \in C(X)$ , it follows from [10, Appendix A.3.6] that there is  $f' \in C(X)$  and  $\mu' \in \mathcal{T}_{f'}(X, T) = \mathcal{M}_{f'}(X, T)$  such that  $\|\mu - \mu'\| \leq \epsilon$ , and

$$\begin{aligned} \|f - f'\| &\leq \frac{1}{\epsilon} [P_{pre}(T, f) - \mu(f) - \inf\{P_{pre}(T, g) - \mu(g) : g \in C(X)\}] \\ &= \frac{1}{\epsilon} [P_{pre}(T, f) - \mu(f) - h_{pre, \mu}(T)] \quad (\text{By [3, Theorem 4.2]}). \end{aligned}$$

The lemma is proved. □

**Theorem 4.2** *The following holds.*

- (1) *The set  $\mathcal{M}_{pre}(X, T)$  is dense in  $\mathcal{M}(X, T)$ ;*  
 (2) *For any finite collection of ergodic measures  $\{\mu_1, \mu_2, \dots, \mu_n\}$ , there is a  $f \in C(X)$  such that  $\{\mu_1, \mu_2, \dots, \mu_n\} \subset \mathcal{M}_f(X, T)$ .*

**Proof** (1) It follows directly from Lemma 4.3.

(2) Use (1), we know that there is  $f \in C(X)$  and  $\mu \in \mathcal{M}_f(X, T)$  such that

$$\|\mu - \frac{1}{n}(\mu_1 + \mu_2 + \dots + \mu_n)\| < \frac{1}{n}.$$

Let  $\mu = \int_{\mathcal{M}^e(X, T)} m d\tau(m)$  be the ergodic decomposition of  $\mu$ . Then we have

$$\|\tau - \frac{1}{n}(\delta_{\mu_1} + \delta_{\mu_2} + \dots + \delta_{\mu_n})\| < \frac{1}{n},$$

(see [10, Appendix A.5.5]), and therefore  $\tau(\{\mu_1\}) > 0, \dots, \tau(\{\mu_n\}) > 0$ . Thus  $\{\mu_1, \mu_2, \dots, \mu_n\} \subset \mathcal{M}_f(X, T)$  by (4) in Proposition 2.1.  $\square$

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